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UNAMID



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African Union – United Nations Hybrid Operation in DarfurOUTGOING FACSIMILE

Date: 09 August 2012

To: Ms. Eleanor T. Burns Chief, Peacekeeping Audit Service Internal Audit Division, OIOS	From: Ibrahim Gambari Joint Special Representative UNAMID <i>W. Weiszegger</i>
Info: Mr. Prances Sooja, Chief OIOS Resident Auditors UNAMID	
Fax No. : +1-212-963-3388	FAX NO: 7-2707, 192-3594
Subject: <u>Assignment No. AP2011/634/15- Audit of Budget Formulation and Management in UNAMID</u>	
Total Number of Transmitted Pages including this Page:	
<p>1. With reference to your interoffice memorandum dated 26 July 2012 on the above subject matter, we hereby confirm receipt of your draft report on the Audit of Budget Formulation and Management in UNAMID. Please find attached our response to the recommendations for your consideration. Included is the name and title of the individual responsible for full implementation of the accepted recommendations and estimated target date of full implementation.</p> <p>2. Thank you and best regards.</p>	
Drafted By:	Cleared By:
Beatrice Lushugurhi, AAO <i>[Signature]</i>	Wolfgang Weiszegger, DMS <i>[Signature]</i>

JSR, DJSR (P), DJSR (O&M), MCOS, SAO - DMS

AUDIT RECOMMENDATIONS

ANNEX I

Audit of budget formulation and management in UNAMID

Rec. no.	Recommendation	Critical/ ¹ important ²	Accepted? ² (Yes/No)	Title of responsible individual	Implementation date	Client comments
1.	UNAMID, as part of its 2013/14 budget submission, should review all posts presently on loan. If they are still required by the receiving unit/section, a proper justification for re-deployment should be made in UNAMID's budget proposal to ensure that the posts on loan are regularized.	Important	Yes	Mr. Aggrey Kedogo, Chief Civilian Personnel Officer	30 September 2012	UNAMID concurs with the recommendation made and has already initiated the staffing review including the review of borrowed and loaned posts. Detailed guidelines along with Terms of Reference were approved by the JSR on 17 May 2012 establishing Civilian Staffing Review Team (CSRT) which shall submit its final recommendations by 30 September 2012 to the Steering Group chaired by D/JSR (O&M) for final approval and further inclusion in 2013/14 budget estimates.
2.	UNAMID should ensure that the certifying officers of self-accounting units take advantage of existing tools including Funds Monitoring Tool and the Sun system to help them manage their budget allocations properly.	Important	Yes	Mr. Sambou Traore, Chief Finance Officer	Q2 and Q3 2012	UNAMID concurs with the recommendation made and plans to organize a FMT training during the Q3 of 2012/2013 in coordination with PFD/DM. Whereas the SUN systems training will be organized using in-house resources during the Q2 of 2012/13 financial period.

¹ Critical recommendations address significant and/or pervasive deficiencies or weaknesses in governance, risk management or internal control processes, such that reasonable assurance cannot be provided regarding the achievement of control and/or business objectives under review.

² Important recommendations address important deficiencies or weaknesses in governance, risk management or internal control processes, such that reasonable assurance may be at risk regarding the achievement of control and/or business objectives under review.

Rec. no.	Recommendation	Critical/ ¹ / important ²	Accepted? (Yes/No)	Title of responsible individual	Implementation date	Client comments
3.	UNAMID should ensure that sections/units responsible for outputs reported in the results-based budget performance reports maintain appropriate portfolio of evidence in compliance with the requirements of the General Assembly.	Important	Yes	Mr. Michael Tarallo, Chief Budget Officer	Ongoing	UNAMID concurs with the recommendation made and confirms that progress on RBB frameworks is reviewed on monthly basis by respective sections/units and reported quarterly to the O/MCoS through Planning Unit along with the relevant portfolio of evidence. After the review of the submitted information/documents, Planning Unit further saves this information on the share drive which is later used to prepare the final performance report on the RBB frameworks.