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INTEROFFICE MEMORANDUM

MEMORANDUM INTERIEUR

OFFICE OF INTERNAL OVERSIGHT SERVICES · BUREAU DES SERVICES DE CONTRÔLE INTERNE  
INTERNAL AUDIT DIVISION · DIVISION DE L'AUDIT INTERNE

TO: Mr. James Boynton, Director, a.i.  
A: United Nations Support Office for AMISOM

DATE: 14 September 2011

REFERENCE: IAD: 11-00577

FROM: Fatoumata Ndiaye, Director  
DE: Internal Audit Division, OIOS

*Fatoumata*

SUBJECT: **Assignment No. AP2011/638/01 – Audit of UNSOA's training programme in support of**  
OBJET: **AMISOM**

**Overall results relating to the development, delivery, and assessment of UNSOA's training programme for AMISOM were satisfactory**

1. Attached please find the final report on the above-mentioned audit
2. The audit identified a number of opportunities for improvement (see Annex). While OIOS will not report on the implementation of these opportunities, we encourage you to implement them to improve the efficiency and effectiveness of your operations. OIOS will review their implementation as part of future audits.
3. Please note that under General Assembly resolution 59/272, a Member State may request that the final report be made available. Also note that pursuant to General Assembly resolution 64/263, OIOS has included the complete management response as an appendix to the present report.
4. We wish to express our appreciation to the Management and staff of UNSOA for the assistance and cooperation extended to the auditors during the assignment.

cc: Mr. Elijah Karambizi, Deputy Director, UNSOA  
Mr. Michael Hanrahan, Chief Technical Services, UNSOA  
Ms. Cecilia McGill, Chief Administrative Services, UNSOA  
Mr. Swatantra Goolsarran, Executive Secretary, UN Board of Auditors  
Ms. Susanne Frueh, Executive Secretary, Joint Inspection Unit  
Mr. Seth Adza, Chief, Audit Response Team, Department of Field Support  
Mr. Moses Bamuwanye, Executive Secretary, IAAC  
Mr. Zachary Ikiara, Chief, Oversight Support and Coordination Unit, Department of Management  
Mr. Byung-Kun Min, Special Assistant to the USG-OIOS  
Ms. Eleanor T. Burns, Chief, Peacekeeping Audit Service, OIOS  
Ms. Amy Wong, Programme Officer, Internal Audit Division, OIOS

# **AUDIT REPORT**

## **Audit of UNSOA's training programme in support of AMISOM**

### **BACKGROUND**

The Security Council, in its resolution 1863 on 16 January 2009, authorized the United Nations Support Office for the African Union Mission in Somalia (UNSOA) to provide a logistical support package to the African Union Mission in Somalia (AMISOM) to enable it to conduct peacekeeping operations in Somalia. As part of this logistical support package, UNSOA is responsible for developing, delivering, and assessing a training programme regarding AMISOM management of logistics and operations. UNSOA has a Training Unit of four staff that is responsible for administering UNSOA's training mandate. The respective sections of UNSOA are primarily responsible for planning and implementing this mandate. The Unit reports to the Chief Administrative Services.

The training budget for the fiscal year 2009/10 was \$3.05 million including \$1.25 million for capacity building and \$1.8 million for training in mine detection and clearing. The training budget for the fiscal year 2010/11 was \$3.8 million including \$0.8 million for capacity building and \$3.0 million for training in mine detection and clearing.

This audit was included in the 2011 OIOS' risk-based work plan in order to examine the control and support arrangements to AMISOM.

### **OBJECTIVE AND SCOPE**

The audit was conducted to assess the adequacy and effectiveness of UNSOA's risk management, control and governance processes in providing reasonable assurance regarding the effective development, delivery, and assessment of a training programme for AMISOM's management of logistics and operations. The key controls tested for the audit included those related to: (a) training and development; (b) performance monitoring; and (c) project management. The audit covered UNSOA's activities related to the three key controls for the period 1 July 2009 to 31 March 2011.

### **AUDIT RESULTS**

In OIOS' opinion, UNSOA's risk management, control and governance processes examined were **satisfactory** to provide reasonable assurance regarding the effective development, delivery, and assessment of a training programme for AMISOM's management of logistics and operations.

UNSOA was identifying the training needs of AMISOM personnel and providing training in accordance with its training calendar. During the fiscal year 2009/10, UNSOA trained 498 AMISOM personnel in United Nations-owned equipment, support policy, and procedures. Also, UNSOA was providing funds to the United Nations Office for Project Services to carry out training of AMISOM personnel on mine detection and clearing. Opportunities for improvement included: (a) not all UNSOA's section chiefs had identified their AMISOM counterparts to better consult with AMISOM officials on training needs, identifying trainees, developing training strategies and monitoring the deployment and performance of the trained staff; (b) the overall training objectives for the fiscal year 2010/11 and measures for establishing the success of training had not been formalized; and (c) the train-the-trainers programme to allow AMISOM to take over some training responsibilities had not been implemented.

## **ACKNOWLEDGEMENT**

OIOS wishes to express its appreciation to the Management and staff of UNSOA and AMISOM for the assistance and cooperation extended to the auditors during this assignment.