



United Nations

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**OFFICE OF INTERNAL OVERSIGHT SERVICES
INVESTIGATIONS DIVISION**

*This Report is protected by paragraph 18 of
ST/SGB/273 of 7 September 1994*

INVESTIGATION REPORT ON THE FRAUDULENT USE OF



REDACTED REPORT

ID Case No. 0013-09

23 JUNE 2009

STRICTLY CONFIDENTIAL

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I. INTRODUCTION

1. On [REDACTED] the Investigations Division of the Office of Internal Oversight Services (OIOS) received a report of possible misconduct implicating United Nations personnel in [REDACTED]
2. Specifically, the [REDACTED] of the [REDACTED] reported that [REDACTED] had fraudulently utilized the [REDACTED] assigned to [REDACTED] in order to obtain [REDACTED].
3. OIOS conducted investigations into the matter and found that [REDACTED] had misused the [REDACTED] of [REDACTED].
4. This report details the investigation into [REDACTED]'s participation and involvement in the reported misconduct.

II. APPLICABLE LEGAL NORMS

5. Staff regulations and issuances:

Staff Regulation 1.2

(b) Staff members shall uphold the highest standard of efficiency, competence and integrity. The concept of integrity includes, but is not limited to, probity, impartiality, fairness, honesty and truthfulness in all matters affecting their work and status;

Secretary-General's Bulletin ST/SGB/2004/15 (In effect 1 December 2004)Section 5

- 5.1 Users of ICT resources and ICT data shall not engage in any of the following actions:

- b) Knowingly, or through gross negligence, making ICT resources ... available to persons who have not been authorized to access them;
- c) Knowingly, or through gross negligence, using ICT resources ... in a manner contrary to the rights and obligations of staff members;

[REDACTED] Administrative Instruction No. 08/2006 (In effect 1 August 2006)

- (3) Use of the [REDACTED] shall be in accordance with the highest standards of conduct expected of UN staff members and subject to the policy on the use of information and communications technology resources and data (ST/SGB/2004/15).

- (24) Any form of abuse by staff in utilizing their [REDACTED] that may result in financial loss to the organization directly or otherwise will result in the immediate deactivation of this service. Fraudulent use of another [REDACTED] will also result in its immediate deactivation followed by administrative action against the staff involved.

III. IMPLICATED PERSONNEL

- 6. [REDACTED] a [REDACTED] began [REDACTED] service with the Organization in [REDACTED] as a [REDACTED] when [REDACTED] was hired as [REDACTED]. [REDACTED] was summarily dismissed as a result of misconduct investigated by the [REDACTED] and subsequently reported on [REDACTED].

IV. METHODOLOGY

- 7. The OIOS investigation included, but was not limited to the analysis of the—
 - i) itemized [REDACTED]; and
 - ii) [REDACTED] documentation identifying the [REDACTED] assigned to the [REDACTED] from which fraudulent [REDACTED] originated.
- 8. Finally, OIOS interviewed all relevant witnesses and subjects pertaining to this matter.

V. BACKGROUND

- 9. Due to an increase in [REDACTED] traffic to [REDACTED] experienced [REDACTED] congestion which impacted upon their operational capability. Therefore a [REDACTED] was required to initiate a [REDACTED] that were not [REDACTED]. As the United Nations has limited [REDACTED] resources, the [REDACTED] issuances were only provided to [REDACTED] with [REDACTED]. These [REDACTED] also enabled [REDACTED] to place [REDACTED] at a [REDACTED] rate than that offered by the [REDACTED]. As a result only [REDACTED] could be [REDACTED] without a [REDACTED]; all other [REDACTED] required the [REDACTED] to input their [REDACTED].

- 10. According to the [REDACTED] 'Guidelines on the Use of [REDACTED] and [REDACTED] catered for the demand for [REDACTED] with, amongst other things, the employment of the [REDACTED].

11. The [REDACTED] have the capability of retrieving [REDACTED] input by [REDACTED] without the need for [REDACTED] and [REDACTED]. Therefore, individual [REDACTED] assigned to [REDACTED] were compromised once they utilized the [REDACTED] allocated to other [REDACTED].

VI. INVESTIGATIVE DETAILS

A. [REDACTED]-ASSISTED IDENTIFICATION OF SUBJECT

12. After receiving complaints from [REDACTED] about [REDACTED] to their [REDACTED] that had not been personally incurred, [REDACTED] and OIOS collaborated in the identification of possible subjects. The results revealed that [REDACTED] incurred [REDACTED] for [REDACTED] for the [REDACTED] of [REDACTED] that originated from the [REDACTED] assigned to [REDACTED].

B. ANALYSIS OF [REDACTED]

13. All relevant information from the respective [REDACTED] was entered into [REDACTED]. This data was then analyzed by sorting and cross-referencing the disputed [REDACTED] with the originating [REDACTED], which were then matched with the [REDACTED] assigned to those [REDACTED]. The disputed [REDACTED] produced by the [REDACTED] contained [REDACTED] implicating [REDACTED] in the misuse of their [REDACTED]. In addition, the analysis undertaken by OIOS extrapolated further [REDACTED] which were possibly misused by [REDACTED] - [REDACTED] refers.

14. OIOS calculated that the amount defrauded totalled [REDACTED] in [REDACTED]. The affected [REDACTED] and a summary of these unauthorized [REDACTED] are [REDACTED].

C. INTERVIEW WITH THE SUBJECT

15. On [REDACTED] OIOS interviewed [REDACTED] who confirmed that [REDACTED] was allocated to [REDACTED] in [REDACTED] however [REDACTED] was not assigned [REDACTED] that [REDACTED] never takes [REDACTED] with [REDACTED] after [REDACTED] further claimed that [REDACTED] lost [REDACTED] in [REDACTED] and recovered it after a short while; however [REDACTED] only reported the temporary loss to [REDACTED] that time, who has since left the [REDACTED].

16. When asked about the fact that [REDACTED] recorded [REDACTED] of other [REDACTED] initially denied that [REDACTED] had utilized any [REDACTED] however [REDACTED] later admitted to [REDACTED] unauthorized use of the [REDACTED].

17. Although [REDACTED] initially stated that [REDACTED] had no [REDACTED] living outside of [REDACTED] later admitted that [REDACTED] did have relatives residing in [REDACTED] but [REDACTED] emphatically denied [REDACTED] to [REDACTED], which were recorded [REDACTED].

[REDACTED]

against [REDACTED] stated that [REDACTED] was not sure if [REDACTED] had made [REDACTED] to [REDACTED] [REDACTED] which were also recorded to [REDACTED] [REDACTED] was not forthcoming in relation to details of [REDACTED] of [REDACTED] in order to verify [REDACTED]

18. [REDACTED] admitted that [REDACTED] utilized [REDACTED], which [REDACTED] was able to obtain, as [REDACTED] [REDACTED] utilized [REDACTED] and thereafter the [REDACTED] remained on [REDACTED] [REDACTED] claimed that [REDACTED] initially was unaware that [REDACTED] could use the [REDACTED], until [REDACTED] showed the [REDACTED] to a [REDACTED] who told [REDACTED] the [REDACTED] could be used to [REDACTED] and thereafter [REDACTED] used it. When asked about the identity of the [REDACTED] and the [REDACTED] who facilitated the use of the [REDACTED], [REDACTED] failed to identify the individuals [REDACTED]

VII. FINDINGS

19. OIOS finds that [REDACTED] fraudulently misused the [REDACTED] [REDACTED] of [REDACTED] in order to make [REDACTED] for which [REDACTED] did not [REDACTED], totalling [REDACTED]

VIII. CONCLUSIONS

20. Based on the evidence collected and the findings above, OIOS concludes that [REDACTED] failed to adhere to the standard of integrity expected of United Nations personnel and that [REDACTED] contravened staff regulation 1.2(b) and relevant administrative issuances.

IX. RECOMMENDATIONS

21. In view of the preceding findings, OIOS makes the following recommendations:

Recommendation 1: It is recommended that the [REDACTED] and [REDACTED] take appropriate action with regard to [REDACTED].